

# Developing equine mtDNA profiling for forensic application

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**Abstract** Horse mtDNA profiling can be useful in forensic work investigating degraded samples, hair shafts or highly dilute samples. Degraded DNA often does not allow sequencing of fragments longer than 200 nucleotides. In this study we therefore search for the most discriminatory sections within the hypervariable horse mtDNA control region. Among a random sample of 39 horses, 32 different sequences were identified in a stretch of 921 nucleotides. The sequences were assigned to the published mtDNA types A–G, and to a newly labelled minor type H. The random match probability within the

analysed samples is 3.61%, and the average pairwise sequence difference is 15 nucleotides. In a “sliding window” analysis of 200-nucleotide sections of the mtDNA control region, we find that the known repetitive central motif divides the mtDNA control region into a highly diverse segment and a markedly less discriminatory segment.

**Keywords** Horse · Mitochondrial · Sequence · Control region · D-loop · Genetic · Evolution · Breed

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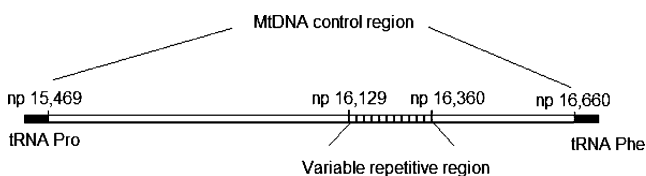
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## Introduction

The mtDNA of horses is maternally inherited, haploid and its genes do not recombine [1]. Excluding new mutations, mtDNA sequences are therefore identical for all matrilineally related horses. The equine mtDNA has an approximate length of 16,660 bp and consists of a coding region, which contains 37 genes [2, 3], and a non-coding region, called the control region, which is approximately 1,192-bp long and contains the displacement loop, see Fig. 1. The control region contains a repetitive region consisting of 2–29 octamer repeats which is prone to length heteroplasmy [4].

Forensic animal DNA profiling is a developing subject in the literature [5–10]. For horses, potential areas for casework includes (a) situations where a horse can be a perpetrator and its identification becomes necessary when it has been involved in an attack on a person, when causing an accident or damaging property, (b) doping in the racing industry, where a horse owner found guilty of doping a horse might invoke laboratory sample confusion in the urine samples analysed by the toxicologist and (c) mtDNA profiling in horse theft cases, especially where only degraded remains, hairs or a distantly and matrilineally related horse are available as sample material. With regard to horse theft, about one in every 6,000–100,000 horses in Western countries are reported, on leading websites, as being stolen at any given time (Table 1). In Germany, pedigree stallions for breeding cost on average \$80,000, with the maximum amount paid for in 2008 being \$1.5 million [11].

Horse mtDNA profiling would be particularly useful for degraded samples, hair shafts or highly dilute samples, due to the much higher copy number of mtDNA over nuclear DNA. Ideally, the whole mtDNA control region could be amplified to ensure a high genetic resolution. In practice however, the repetitive region can cause amplification problems, and degraded DNA samples often allow the amplification of only short fragments up to 200-bp long



**Fig. 1** Horse mtDNA control region. The control region of the horse mtDNA is a non-coding DNA stretch flanked by the tRNA genes for proline and phenylalanine. It is 1,192-bp long (from nps 15,469–16,660) according to the reference sequence [4]. However the length can vary individually due to a repetitive region which consists of around 2–29 repeats of the octamer motif 5'-GTGCACCT-3', with the published reference sequence representing 29 repeats. In this study, we have also sequenced part of the tRNA-Phe gene (nps 1–70) and into (nps 71–121) the 12S rRNA gene

[12, 13]. This study therefore sets out to optimise the profiling of horse mtDNA for forensic purposes.

## Material and methods

### DNA samples

Tail or mane hair-roots were sampled from 38 unrelated horses representing 11 breeds: one Algerian Barb (sampled in Germany, ancestor from Algeria), one Tunisian Barb (sampled in Germany, ancestor from Tunisia), one Moroccan Barb (sampled in Germany, ancestor from Morocco) five Caspians from the south-eastern shore of the Caspian Sea, four Caspians from the south-western shore of the Caspian Sea, two Dulmeners (sampled in Germany), five Hutzuls (sampled in Romania), five Kurdish horses (sampled in Kurdistan), one Kalmuck (sampled in Russia), six Mongolians (sampled in Mongolia), three Turkomans (sampled in the border area of Turkmenistan/Iran/Afghanistan), one Welsh Cob (sampled in Suffolk, ancestor from Wales), one Welsh Pony (purchased in the Midlands, England), and two Yabous (sampled in the border area of Turkmenistan/Iran/Afghanistan). The published Swedish horse reference sequence [4] was added for the statistical analyses.

### DNA extraction, amplification and sequencing

For each horse, total DNA was extracted from five hair roots as published by Allen et al. [14]: lengths of 1 to 2 cm of five hairs with roots incubated for 3 h at 56°C in 200 µL extraction buffer (50 mM KCl, 10 mM Tris-HCl at pH 8.3, 1.5 mM MgCl<sub>2</sub>, 0.45% Triton X100, 0.45% Tween 20)

**Table 1** Horse theft in Europe and America

Country	Horse population <sup>a</sup>	Reported stolen <sup>b</sup>
UK	0.4 million	172 <sup>c</sup>
Germany	0.5 million	85 <sup>d</sup>
USA	9.5 million	95 <sup>e</sup>

<sup>a</sup> Food and Agriculture Organization of the United Nations (FAOSTAT), values for 2008. For the UK, the National Equine Database currently (Aug 2010) has a higher number as it holds 951,320 records of horses with known owners. N.B. not all owners may have registered, and some owners may have omitted to report deceased horses

<sup>b</sup> Reported stolen in the period January 2006 to March 2010 and not recovered by 10 March 2010

<sup>c</sup> Stolen Horse Register website: <http://www.stolenhorseregister.com>

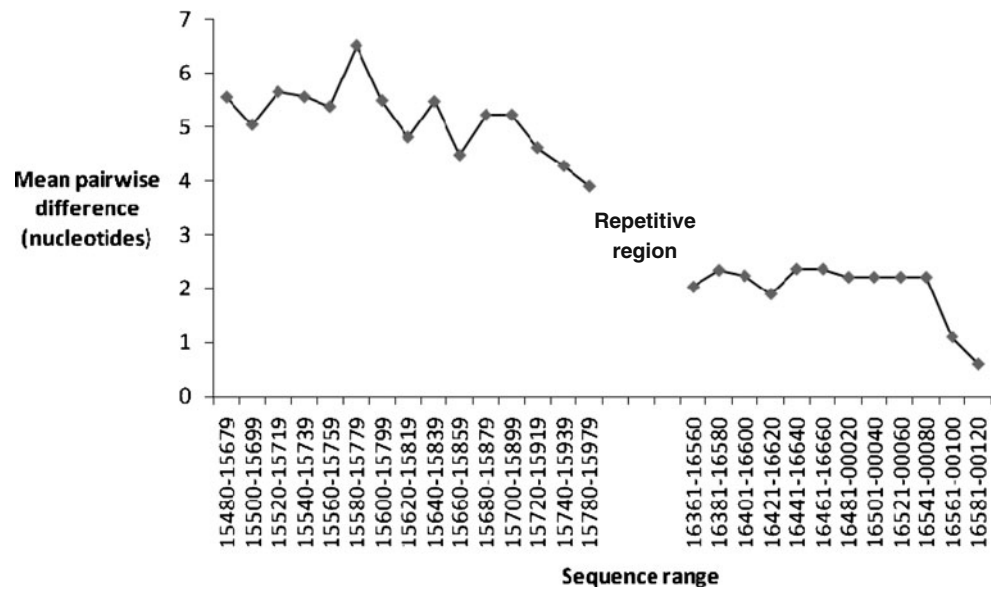
<sup>d</sup> R. Libowski, pers. comm., and Horse Theft website: <http://www.pferdediebstahl.de>

<sup>e</sup> Stolen Horse International website: <http://www.netposse.com/stolenmissing/stolenhorses.htm>





**Fig. 3** Determination of highly variable mtDNA sections for forensic application. The mean pairwise difference was analysed for a total of 27 sections (each 200-bp long) and based on mtDNA sequences from 39 horses



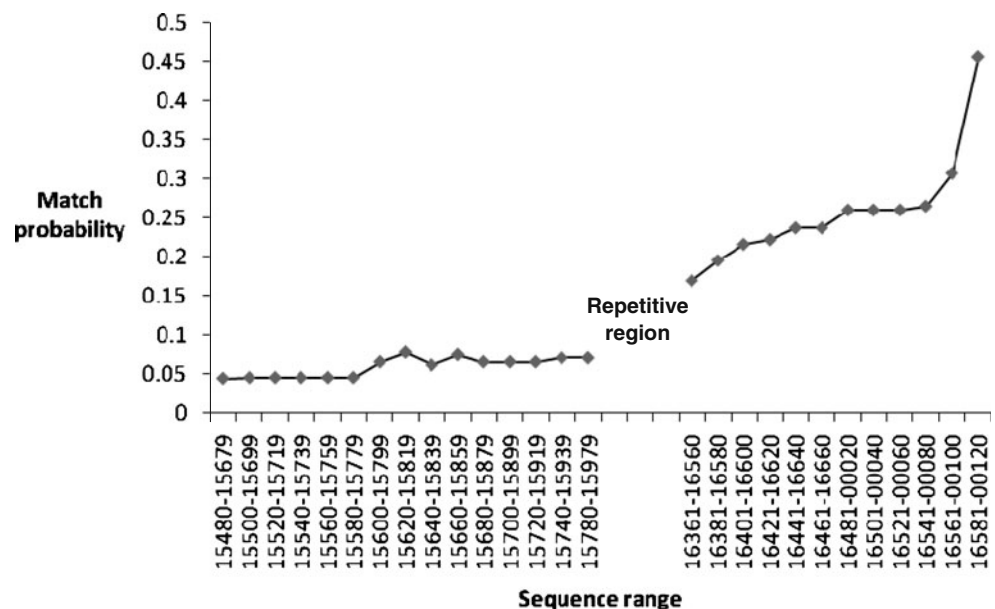
we have characterised and analysed 921 nucleotides of the horse mtDNA in a random sample of 39 horses.

We applied two types of diversity analysis to the mtDNA data set we generated, the match probability and the pairwise difference statistic. It is arguably more relevant for forensic casework to use the match probability rather than the pairwise difference statistic; as in general, the question is not how many nucleotides’ difference there is between two horse samples, but whether the two samples are identical or not. However, when comparisons between related horses across generations are involved, then there is the theoretical possibility of a mutation, and a single nucleotide difference between two horses might not be considered sufficient for an exclusion. So we performed

both calculations in a “sliding window” analysis, and found the results to be compatible, although the pairwise difference diversity predictably allows a more sensitive comparison of the genetic diversity from section to section.

We found that the pairwise difference diversity of mtDNA sections declines by a factor of three when progressing along the mtDNA control region, from the tRNAPro gene to the tRNAPhe gene. Using the pairwise difference statistics, the most highly variable 200-nucleotide section turned out to be located at nps 15,580–15,779, which the investigator should preferentially amplify when dealing with degraded DNA. If sufficient material is available for one further PCR attempt, the pairwise difference statistic indicates that the investigator should

**Fig. 4** Determination of highly discriminative mtDNA sections for forensic application. The match probabilities were analysed for a total of 27 sections (each 200-bp long) and based on mtDNA sequences from 39 horses



then preferentially amplify the adjacent 200 bp-section nps 15,780–15,979, and avoid targeting the less discriminatory DNA sections beyond the repetitive region.

An interesting technical observation during this study was that amplification across the repetitive region yielded more products at high temperature of 65°C, and this may be connected to the hairpin secondary DNA structure that is caused by such motif repetitions.

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